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July 24, 2006

From: Curtis A. Vock

Matter No.: 412483

To:	Company:	Fax Number:	Phone Number:
Commissioner For Patents	U.S. Patent Office	(571) 273-8300	

Number of Pages Transmitted (including this cover sheet): 24

Message:

Applicant(s) Ian Baker, et al.

Examiner John Sheehan

Serial No. 10/796,675

Group Art No. 1742

Filed March 9, 2004

Confirmation No. 2631

For Novel High-Strength, Magnetic,
 Nanostructured Alloys

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Applicant(s): Ian Baker, et al			412483
Serial No. 10/796,675	Filing Date March 9, 2004	Examiner John Sheehan	Group Art Unit 1742
Invention Novel High-Strength, Magnetic, Nanostructured Alloys			
<p>I hereby certify that the following: Facsimile Cover Sheet (1 page); Transmittal Form (1 page); Information Disclosure Statement Transmittal (2 pages); Supplement Information Disclosure Statement by Applicant (2 pages); Copy of 2 references (17 pages); are being sent via facsimile transmission to c/o the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 24th day of July, 2006</p>			
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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/796,675
	Filing Date	March 9, 2004
	First Named Inventor	Ian Baker
	Art Unit	1742
	Examiner Name	John Sheehan
Total Number of Pages in This Submission	Attorney Docket Number	412483

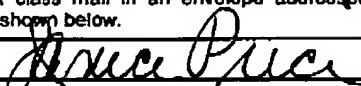
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 412483
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Ian Baker, et al.
Serial No. 10/796,675
Filed March 9, 2004
For Novel High-Strength, Magnetic,
Nanostructured Alloys

Examiner John Sheehan
Group Art No. 1742
Confirmation No. 2631

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July 24, 2006

Commissioner For Patents
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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

Please charge to Deposit Account 12-0600 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 12-0600 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Statement together with copies of non-U.S. patents, publications or other information (if any) of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable

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about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

LATHROP & GAGE LC



Curtis A. Vock, Reg. No. 38,356
4845 Pearl East Circle, Suite 300
Boulder, Colorado 80301
Tel No: (720) 931-3011
Fax No: (720) 931-3001

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**SUPPLEMENTAL
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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/796,675
Filing Date	March 9, 2004
First Named Inventor	Ian Baker
Art Unit	1742
Examiner Name	John Sheehan
Attorney Docket Number	412483

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HAO, Shi Ming; ISHIDA, K.; and NISHIZAWA, T.; "Role of Alloying Elements In Phase Decomposition In Alnico Magnet Alloys," Metallurgical Transactions A, Feb. 1985, Vol 16A, No. 2, pg. 179-185, February, 1985	
		SINGH, Jogender and WAYMAN, C.M.; "Age-Hardening Characteristics Of A Martensitic Fe-Ni-Mn Alloy," Materials Science And Engineering, 04, pg. 233-242, 1987	

Examiner Signature		Date Considered	
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